

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				Complete if Known 9CWB3	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	
				Filing Date	Herewith
				First Named Inventor	Pettit, Stephen W.
				Group Art Unit	3626
				Examiner Name	VANEL FRENEL
Sheet	1	of	1	Attorney Docket Number	PET-01

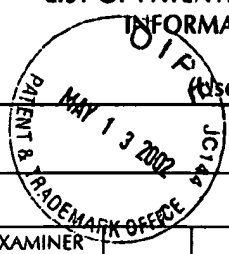
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Examiner Signature	<i>Panel Frenel</i>	Date Considered	<i>9/13/05</i>
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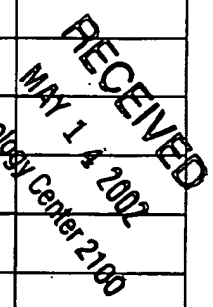
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 264/055	SERIAL NO. 09/753,910
	APPLICANT: Stephen W. Pettit, et al.	
	FILING DATE: January 2, 2001	GROUP: 2161 3626



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
V.F.	17.	6,032,155	2/29/2000	de la Huerga	707	104	
	18.	6,055,507	4/25/2000	Cunningham	705	3	
	19.	6,065,819	5/23/2000	Holmes, et al.	312	215	
	20.	6,108,588	8/22/2000	McGrady	700	231	
	21.	6,108,634	8/22/2000	Podnar, et al.	705	2	
	22.	6,112,182	8/29/2000	Akers, et al.	705	2	
	23.	6,112,183	8/29/2000	Swanson, et al.	705	2	
	24.	6,148,297	11/14/2000	Swor, et al.	707	3	
	25.	6,154,726	11/28/2000	Rensimer, et al.	705	2	
	26.	6,161,095	12/12/2000	Brown	705	2	
	27.	6,171,283	1/9/2001	Perez, et al.	604	192	
	28.	6,219,587	4/17/2001	Ahlin, et al.	700	233	
V.	29.	6,256,967	7/10/2001	Hebron, et al.	53	501	
V.F.	30.	6,259,654	7/10/2001	de la Huerga	368	10	



FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER: Not yet assigned <i>Panel Frenel</i>	DATE CONSIDERED: <i>9/13/05</i>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

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	APPLICANT: Stephen W. Pettit, et al.	
	FILING DATE: January 2, 2001	GROUP: 2161 3626

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
V.F.	1.	4,839,806	6/13/1989	Goldfischer, et al.	364	413.02	
	2.	4,857,713	8/15/1989	Brown	235	375	
	3.	4,857,716	8/15/1989	Gombrich, et al.	235	462	
	4.	5,716,114	2/10/1998	Holmes, et al.	312	215	
	5.	5,624,400	4/29/1997	Firth, et al.	604	110	
	6.	5,842,976	12/1/1998	Williamson	600	300	
	7.	5,848,593	12/15/1998	McGrady, et al.	128	897	
	8.	5,852,590	12/22/1998	de la Huerga	368	10	
	9.	5,912,818	6/15/1999	McGrady, et al.	364	479.02	
	10.	5,940,306	8/17/1999	Gardner, et al.	364	479.14	
	11.	5,971,593	10/26/1999	McGrady	364	479.03	
	12.	5,973,600	10/26/1999	Mosher, Jr.	340	572.8	
	13.	5,991,729	11/23/1999	Barry, et al.	705	3	
	14.	5,991,730	11/23/1999	Lubin, et al.	705	3	
	15.	6,003,006	12/14/1999	Colella, et al.	705	2	
V.F.	16.	6,021,392	2/1/2000	Lester, et al.	705	2	

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	PCT Publication No. WO 00/62221 entitled, "Lot Tracking Systems for Pharmaceuticals", 10/19/2000.

EXAMINER: Not yet assigned <i>Vanel Frenel</i>	DATE CONSIDERED: <i>9/13/05</i>
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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
Application Number				09/753,910	
Filing Date				01/02/2001	
First Named Inventor				Steven W. Pettit	
Group Art Unit				2461-3626	
Examiner Name				Unassigned VANEL FRENEL	
Attorney Docket Number				706737.36 (264/055US)	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
V.F.	1.	WO	99/65548	A	Medrad Inc	12-23-1999		
V.F.	2.	WO	01/49343	A	Thomas Hogan	07-12-2001		
V.F.	3.	WO	02/43573	A	Docusys Inc.	06-06-2002		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials ⁷	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		

Examiner Signature <i>Vanel Frenel</i>	DOCSOC1:131638.1 706737-36	Date Considered 9/13/05
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/753,910
				Filing Date	01/02/2001
				First Named Inventor	Steven W. Pettit
				Group Art Unit	2161-3626
Examiner Name	Unassigned VANEL FRENEL				
Sheet	1	of	2	Attorney Docket Number	706737.36 (264/055US)

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ²			
V.F.	1	2001/0028308	A1	De La Huerge	10/11/2001	RECEIVED JUN 26 2003 GROUP 3600
	2	2002/0023441	A1	Bara et al.	2/28/2002	
	3	2002/0026330	A1	Klein	2/28/2002	
	4	2002/0027507	A1	Yarin et al.	3/7/2002	
	5	2002/0038392	A1	De La Huerge	3/28/2002	
	6	2002/0067263	A1	Tafoya et al.	6/6/2002	
	7	2002/0067270	A1	Yarin et al.	6/6/2002	
	8	2002/0188259	A1	Hickle et al.	12/12/2002	
	9	4,164,320		Irazoqui et al.	8/14/1979	
	10	5,078,683		Sancoff et al.	1/7/1992	
	11	5,104,374		Bishko et al.	4/14/1992	
	12	5,207,642		Orkin et al.	5/4/1993	
	13	5,317,506		Coutré et al.	5/31/1994	
	14	5,429,602		Hauser	7/4/1995	
	15	5,882,338		Gray	3/16/1999	
	16	5,920,054		Uber, III	7/6/1999	
	17	6,055,506		Frasca, Jr.	4/25/2000	
	18	6,104,295		Gaisser et al.	8/15/2000	
	19	6,110,152		Kovelman	8/29/2000	
	20	6,123,686		Olsen et al.	9/26/2000	
	21	6,221,051		Hjertman et al.	4/24/2001	
	22	6,355,024		Small et al.	3/12/2002	
V.F.	23	6,366,206		Ishikawa et al.	4/2/2002	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
	24	GB	2 309 801	A	Rogers	8/6/1997		
	25	WO	96/05522		Rosenfeld et al.	2/22/1996		
Examiner Signature	Vanel Frenel					Date Considered	9/13/05	

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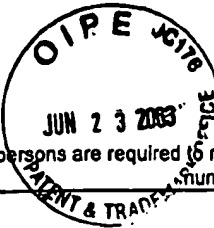
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		Filing Date	01/02/2001		
		First Named Inventor	Steven W. Pettit		
		Group Art Unit	2161 3626		
		Examiner Name	Unassigned VANEL FRENEL		
Sheet	2	of	2	Attorney Docket Number	706737.36 (264/055US)

VF	26	WO	98/21124		Bellum et al.	5/22/1998	RECEIVED JUN 26 2003 GROUP 3000
	27	WO	99/10829		Sweeney et al.	3/4/1999	
	28	WO	00/04480		Jones	1/27/2000	
	29	WO	00/04521		O'Brien	1/27/2000	
	30	WO	02/21425	A2	Abrams	3/14/2002	
VF	31	WO	02/095675	A1	Hickle et al.	11/28/2002	

Examiner Signature	<i>Vanuel Frenel</i>	Date Considered	9/13/05
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/753,910
Filing Date	01/02/2004
First Named Inventor	Steven W. Petri
Group Art Unit	2164-3626
Examiner Name	Unassigned VANEL FRENEL
Attorney Docket Number	706737.36 (264/055US)

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U.S. PATENT DOCUMENTS

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		Number	Kind Code ²			
V.F.	1	2002/0195413	A1	Eastman et al.	12/26/2002	
	2	4,688,026		Scribner et al.	8/18/1987	
	3	4,717,042		McLaughlin	1/5/1988	
	4	4,785,969		McLaughlin	11/22/1988	
	5	5,014,875		McLaughlin et al.	5/14/1991	
	6	5,460,294		Williams	10/24/1995	
	7	5,520,450		Colson, Jr. et al.	5/28/1996	
	8	5,661,978		Holmes et al.	9/2/1997	
	9	5,818,021		Szewczykowski	10/6/1998	
	10	6,039,249		Szewczykowski	3/21/2000	
	11	6,109,774		Holmes et al.	8/29/2000	
	12	6,116,461		Broadfield et al.	9/12/2000	
	13	6,338,007		Broadfield et al.	1/8/2002	
	14	6,339,732		Phoon et al.	1/15/2002	
V.F.	15	6,361,263		Dewey et al.	3/26/2002	
	16	6,499,270		Peroni et al.	12/31/2002	

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		Office ³	Number ⁴	Kind Code ⁵				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ⁷	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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